



Colorimetric biosensing assays based on gold nanoparticles functionalized/combined with non-antibody recognition elements

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ABSTRACT

Biosensing assays involving antibody-antigen interactions have been widely applied in diagnostics and healthcare in virtue of their superior selectivity and sensitivity. However, antibodies typically suffer from complex and fragile functional structures, tedious preparation (resource-intensive and time-consuming), and large molecular size. As a result, the application of antibody-based biosensors tends to be constrained by short shelf-life and limited on-site/remote applicability. To date, AuNP-based colorimetric assays have been widely employed for point-of-care diagnostics in virtue of their user-friendly nature, low cost, chemical versatility, and great potential in naked-eye detection. In this review, recent advances in the development of colorimetric assays based on gold nanoparticles (AuNPs) functionalized/combined with non-antibody recognition elements (e.g., liposomes, peptides, aptamers, other chemical molecules) for naked-eye detection of various analytes (e.g., enzymes, viruses, DNAs and bacteria) are discussed in terms of performances and characteristics. Additionally, challenges and future opportunities of these assays are explored.

1. Introduction

As an analytical tool for detection of biological analytes [1–3], biosensors are becoming increasingly important for diagnostics and management of diseases in virtue of non-invasiveness [4,5], near real time feedback [6,7], high accuracy and reliability [8,9]. Owing to the great achievements over the past decade, the global market for commercial biosensors reached US\$ 25.5 billion in 2021 and is projected to exceed US\$ 36 billion in 2026 [10]. Indeed, biosensors have been intensively applied during the unexpected and catastrophic outbreak of COVID-19 [11–15], which was one of the most severe public health emergencies in human history [16–18]. Indeed, rapid yet effective screening for prevalent infections can undoubtedly save lives and protect healthcare

facilities from being overrun. As a result, the global demand for point-of-care diagnostic kits such as biosensors for rapid detection has drastically increased over the past decades [19–21].

Among various optical biosensors, colorimetric biosensors have been intensively explored owing to several advantages. First, most colorimetric biosensors (e.g., COVID-19 test kits) are miniaturized and exhibit good portability [22–24]. Second, colorimetric biosensors generate visible color changes upon interaction with target molecules, making them suitable for naked-eye detection [25,26], which can hardly be realized by methods requiring advanced instruments (e.g., liquid chromatography - mass spectrometry (LC-MS)). Third, colorimetric sensing assays tend to be cost-effective [27] and user-friendliness [28,29] since neither sophisticated instrument nor tedious sample preparation is

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required, as in the case of LC-MS. Colorimetric sensing is typically based on localized surface plasmon resonance (LSPR) occurring on the surface of conductive nanoparticles (e.g., metallic nanoparticles) under irradiation [30], wherein LSPR can be attributed to the confinement of surface plasmon in the two-dimensional structures [31]. Such biosensors offer naked-eye detection capabilities (qualitative) and can be integrated with smartphone imaging (quantitative) [32,33], both of which are suitable for near-patient testing. AuNP-based colorimetric bioassays have been effectively commercialized and served as a rapid and rationally accurate point-of-care diagnostic tools in the fight against pandemic outbreaks such as COVID-19 [34–36].

To date, a wide range of nanomaterials, including gold nanoparticles (AuNPs), silver nanoparticles (AgNPs) [37], and supermagnetic beads [38], have been employed for colorimetric biosensing. AuNPs constitute the most thoroughly explored nanoscale material for due to its ease of functionalization [39,40], facile fabrication [41], excellent chemical stability [42,43], extraordinary biocompatibility [44,45], and versatile and unique optical performance. Meanwhile, the optical properties of metal NPs, including LSPR, fluorescence, and surface enhanced Raman scattering (SERS), can be tuned by changing their shape, size and composition. To date, a variety of Au nanostructures have been reported, including nanospheres (AuNS) [46], nanorods (AuNR) [47], nanodisks (AuND) [48], nanoshells (AuNSh) [49], nanoprisms (AuNPr) [50], nanorings (AuNRG) [51], and nanocages (AuNC) [52], and each of these structures exhibits unique optical and chemical properties. Among various LSPR-exhibiting metal nanoparticles, AuNPs are essentially the most suitable ones for biosensing applications due to their noble nature, moderate cost and high accessibility [53–55]. Colorimetric assays indicate the presence of target molecules by wavelength shift caused by local changes in the refractive index and/or changes in the distance between particles (agglomeration in most cases), which is macroscopically reflected as color change (typically red to blue/purple) [56,57]. In the AuNP-based colorimetric bioassays, the NPs are typically functionalized/combined with recognition elements specific to the target molecules.

In the early days, most biosensing assays were developed on the basis of natural biomolecular pairs such as antibody/antigen owing to their extraordinary binding affinity and high sensitivity [58]. This is also the case for AuNP-based colorimetric assays. Great achievements have been made in biosensing assays based on natural biomolecular receptors. However, sensing assays based on natural biomolecular recognition elements suffer from several limitations. Firstly, natural biomolecules are vulnerable to denaturation/deactivation under typical working conditions as their functional structures are typically complex and fragile, resulting in short shelf-life and poor reproducibility of the assays [59]. Secondly, natural biomolecules are usually large molecules that may partly block binding sites (epitopes) during the interaction with the target molecules (steric hindrance) [60–62]; fewer natural biomolecules are available for the target molecules as the density of receptors immobilized on substrate per unit area is inversely proportional to their molecular sizes. Thirdly, synthesis of natural biomolecules tends to be time-consuming and resource-intensive [63], resulting in high cost of assays based on natural biomolecules as the recognition elements [64]. As a result, researchers have explored alternative molecular strategies by replacing natural recognition elements with less complex/synthetic analogues.

To date, various synthetic molecules have been proposed as recognition elements in colorimetric biosensing assays. Among them, liposomes [65,66], peptides [67], aptamers [68,69], and other chemical molecules [70] have been intensively explored and widely applied (Fig. 1). Several reviews on colorimetric assays based on AuNPs functionalized/combined with non-antibody molecules have been published, wherein specific sensing mechanisms were discussed [71–73]. Nevertheless, only a few of the review articles discuss the performance of colorimetric biosensing assays with respect to the recognition elements employed. Herein, we offer an overview of the colorimetric

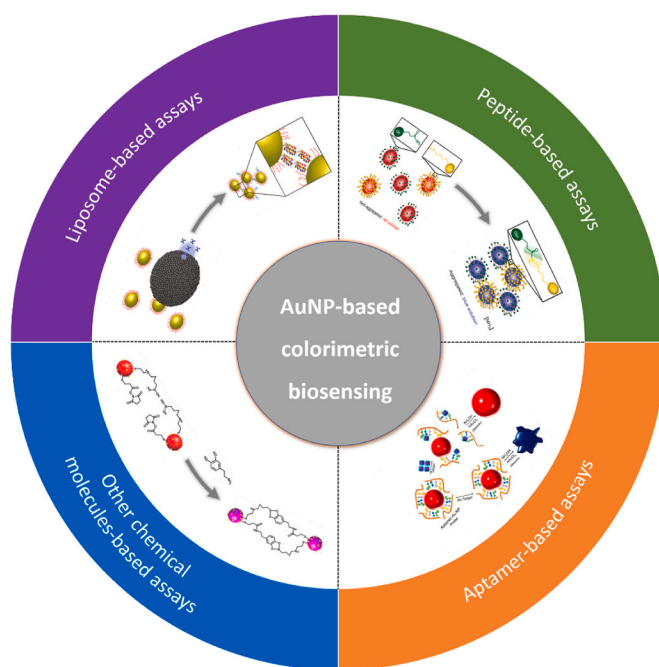


Fig. 1. Schematic illustration of the overall structure of the present review.

biosensing assays with non-antibody molecules as recognition elements, catalytic sites and carriers for signal molecules. Recent advances in the colorimetric assays with different categories of non-antibody recognition elements (liposomes, peptides, aptamers, and other chemical molecules) are presented, followed by chapters discussing each category of the recognition element. Meanwhile, biosensing assays based on different categories of non-antibody recognition elements were compared in order to clarify their respective pros and cons. Table 1 summarizes recent advances in the colorimetric biosensing assays based on gold nanoparticles functionalized/combined with different non-antibody recognition elements and assemblies/carriers. It highlights the target analyte, limit of detection (LoD), color change observed and characteristics of the assays. As indicated, such assays can detect a wide range of analytes (e.g., enzymes, proteins, viruses, DNAs, bacteria) at their clinically relevant concentrations. Additionally, a schematic summary of colorimetric biosensing assays based on gold nanoparticles functionalized/combined with different non-antibody recognition elements is presented in Fig. 1.

2. Colorimetric biosensing assays based on AuNPs functionalized/combined with liposomes

As self-assembled micro-vesicles prepared by hydration of lipid thin films, liposomes are artificial capsules with an aqueous compartment surrounded by a lipid bilayer (e.g., phospholipids and sterols) [100,101]. As a result, the liposomal leaflets that separate a hydrophobic interior are both hydrophilic in nature. In virtue of this unique structure, liposomes can theoretically encapsulate any water-soluble molecules (e.g., chemical molecules, enzymes, fluorescent dyes, nucleic acids) or nanoparticles [102–104] that may serve as signal markers. In this way, the signals can be amplified as one target molecule (e.g. a channel/pore forming molecule) can theoretically trigger the release of thousands of signal marker molecules, resulting in drastically enhanced sensitivity. Meanwhile, phospholipids, the basic building blocks of liposomes, exhibit numerous advantageous properties, including good biodegradability, non-toxicity, and excellent biocompatibility [105]. Additionally, the low cost of lipids makes liposome-based assays highly promising for commercialization. For these reasons, liposomes have been applied in food science [106],

Table 1

Colorimetric biosensing assays based on gold nanoparticles functionalized/combined with different non-antibody recognition elements (liposomes, peptides, aptamers, and other chemical molecules).

Recognition elements	Target analyte	Limit of detection	Assay time	Color change	Characteristics	Reference
Liposomes	Phospholipase A ₂ (PLA ₂)	700 pM	10–40 min (depending on the analyte concentration)	Red to blue	Ultrahigh sensitivity (picomolar range); one of the early assays with liposomes as recognition element	[66]
	Phospholipase A ₂ (PLA ₂)	1 nM (in serum)	<10 min	Green (polymer) to red (AuNPs)	First point-of-care detection in real sample (serum)	[74]
	Sphingomyelinase (SMase)	1.4 pM	5–30 min (depending on the analyte concentration)	Red to blue	Rapid and sensitive detection	[75]
	Enterovirus 71 (EV71) <i>Listeriolysin O</i> (LLO)	16 copies/μL ~10 μg/mL	5 min and above ~5 min	Blue to red Red to purple/blue	Dual signal amplification realized by explosive catalysis	[76] [65]
Peptides	Pathogen	~1 pM	/	Red to dark-blue	Single-digit pathogen detection	[77]
	Human carbonic anhydrase II (HCAII)	~10 nM	<5 min	Remains red (red to purple in absence of analyte)	One of the pioneering colorimetric sensing assays based on peptide-functionalized AuNPs	[78]
	Matrilysin (MMP-7)	~5 nM (10 μg/mL)	<5 min	Red to blue	Ultrahigh sensitivity; both concentration and activity can be assessed	[79]
	Botulinum neurotoxin serotype A light chain (BoLcA)	0.1 nM	/	Remains red (red to pink in absence of analyte)	Naked-eye detection of toxin	[80]
	Blood coagulation Factor XIII	0.01 U/mL	30 min	Red to blue	Label-free detection at clinically relevant level	[81]
	Cardiac troponin I (cTnI)	8.4 pM (0.2 ng/mL)	<10 min	Red to purple	Rapid and sensitive taction in real sample	[67]
	Prostate specific antigen (PSA)	10 pg/mL	~5 min	Red to blue	Pt-decorated AuNPs; dual sensing mechanisms	[82]
	Glucose	0.2 mM	2–20 min (depending on the analyte concentration)	Red to colourless	qualitative (colorimetric) and quantitative (LSPR spectra-based) detection by involving smartphone	[32]
	Lead	~100 pM	/	Red to blue	Lowest LoD achieved for metallic molecules	[83]
	Rhodamine 6G (R6G)	1 × 10 ⁻⁵ fM	/	/	Lowest LoD achieved for chemical molecules	[84]
Aptamers	Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2)	10 copies per reaction	>60 min	/	Lowest LoD achieved for biomolecules	[85]
	Oligonucleotide	10 fmol	/	Red to pink/purple	The first colorimetric assay based on aptamer-functionalized AuNPs	[33]
	Lead	/	120 min	Blue to purple and then to red	A prototype of colorimetric sensor based on aptamer-functionalized AuNPs	[86]
	Lead	0.4 μM	<10 min	Blue to red	Rapid detection of different analytes at room temperature	[87]
	Adenosine; Cocaine	300 μM for adenosine; 50 μM for cocaine	1–5 min (depending on the analyte concentration)	Purple to red	A generalized colorimetric assay that can be combined with any aptamer of interest	[88]
	Ochratoxin A; Cocaine; 7β-estradiol	1 nM for Ochratoxin A; 1 nM for cocaine; 0.2 nM for 7β-estradiol	30 min	Dark purple to pink	Naked eye detection of analyte in real samples at ultra-low concentration	[89]
	Adenosine triphosphate (ATP)	10 nM	30 min	Remains red (red to purple in absence of analyte)	Real sample (urine) detection	[90]
	Ochratoxin A; Aflatoxins B1	0.5 ng/mL for Ochratoxin A; 5 ng/mL for Aflatoxins B1	10 min	Colourless to yellow for Ochratoxin A; Blue to colourless for Aflatoxins B1	Simultaneous detection of two analytes	[91]
	Thrombin; Cocaine; Hg (II)	10 nM for thrombin; 10 μM for cocaine; 50 μM for Hg (II)	5–10 min	Remains red (red to blue in absence of analyte)	A rapid and universal biosensor that can be used for any target of interest	[92]
	Other chemical molecules	Cr ³⁺ ; Fe ²⁺	23.66 nm for Cr ³⁺ ; 11.21 nm for Fe ²⁺	30 min	Red to Blue	Application at wide pH range (3–8)
<i>S. aureus</i> ; <i>M. luteus</i> ; <i>B. subtilis</i>		1 × 10 ⁹ cells/mL	/	Red to black (owing to reduction of silver ions on the surface of AuNPs)	Ultra-sensitive detection in real sample (orange juice and tap water)	[94]
<i>S. aureus</i> ; <i>P. aeruginosa</i>		10 ⁶ CFU/mL	1 h	Pink to yellow	Real sample detection	[95]

(continued on next page)

Table 1 (continued)

Recognition elements	Target analyte	Limit of detection	Assay time	Color change	Characteristics	Reference
	Transition metal ions (Ni^{2+} , Cu^{2+} , Fe^{3+})	1.4–11.2 nM	10 min	Red to purple	Ultra-high sensitivity	[96]
	Dopamine	<5 μM	30 min	Red to pink	Detection of target in living cells	[70]
	Co^{2+}	10 nM	15 min	Red to blue	No additional recognition element (AuNP as the recognition element)	[97]
	Vitamin B1	3 ppb	<10 min	Red to blue	No additional recognition element (AuNP as the recognition element)	[98]
	DNA	1.47 nM	/	Remains red (Turns blue in absence of analyte)	Gold nanorods instead of gold nanospheres are employed as the probe	[99]

biosensing [66], and drug delivery [107]. The application of liposomes in biosensing was first reported decades ago and liposomes have played a key role in optical biosensing approaches since then [108,109]. Liposome-based optical assays have been employed for detections of various analytes, including enzymes [66], nucleic acids [110], and viruses [77]. Nevertheless, approaches based on conventional optical methodologies (e.g. fluorescence, surface plasmon resonance [111]) are inherently limited by cross-talk issues and the requirement for sophisticated instrumentation and tedious sample preparation, making such approaches not ideal candidates for point-of-care applications. Therefore, liposome-based colorimetric biosensors, which are cost effective, rapid, and portable, have also been intensively investigated in recent years owing to their great potential in clinical diagnosis [112]. Indeed, the signal amplification capability of liposomes as carriers of signal molecules (as mentioned above) is of great significance owing to the relatively low sensitivity of colorimetric assays [113].

2.1. Early assays

Since the proposal of the first liposome immunosensor in 1980 [108],

a variety of liposome-based colorimetric biosensing assays have been reported, especially in recent years. In 2011, Aili et al. proposed a flexible phospholipase assay based on liposomes and AuNPs (Fig. 2a). In this assay, rupture of liposomes, which is triggered by the target analyte (phospholipase A_2), leads to release of encapsulated polypeptides from the liposomes, which in turn induces aggregation of AuNPs that are functionalized with polypeptides associate and fold with the polypeptides released from the liposomes. As a result, a color change can be detected within 5 min [66]. Then, real sample detection has been thoroughly explored. Chapman et al. demonstrated a liposome-based lateral flow assay for real sample detection of the same target analyte (phospholipase A_2) in complex fluids (Fig. 2b). Herein, polymers encapsulated within the liposomes are released on the interaction with the target analyte, resulting in the generation of multivalent nanoparticle networks with such polymers as linkers. This process is feasible in human serum, which is of great significance to clinical applications. Also, a red test line is generated and easily detected with no additional instrument involved, which is of great significance to point-of-care diagnosis. The LoD is 1 nM (in serum) and the assay time is less than 10 min [74].

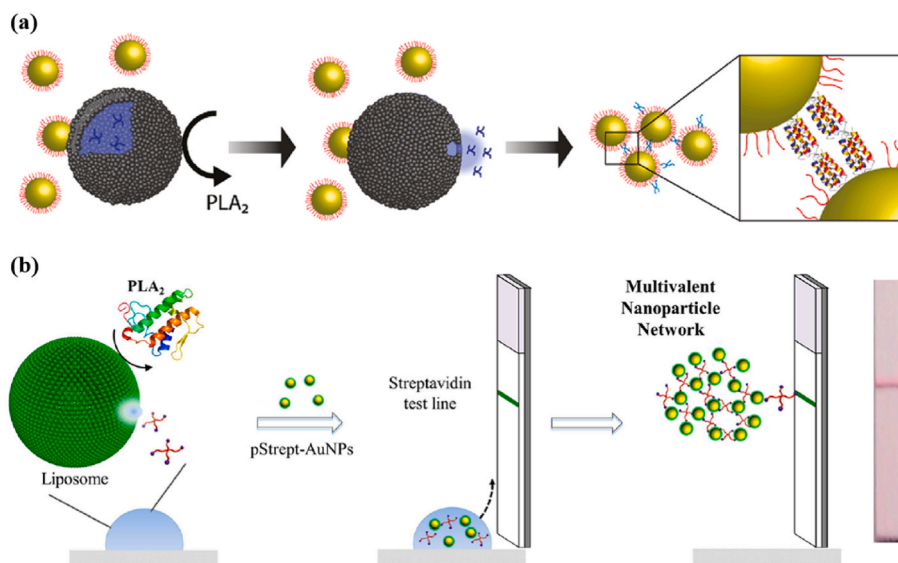


Fig. 2. (a) Colorimetric detection of phospholipase A_2 (PLA_2): AuNPs are functionalized with Polypeptide A (JR2EC) and liposomes are loaded with Polypeptide B (JR2KC₂), wherein Polypeptide A and Polypeptide B are complementary; PLA_2 -mediated hydrolysis of the lipids leads to liposome rupture, resulting in release of Polypeptide B; Polypeptide B interacts with Polypeptide A on AuNPs to form a four-helix bundle, resulting in bridging and aggregation of AuNPs (with permission from American Chemical Society) [66]. (b) Liposome-based lateral flow assay for real sample detection of PLA_2 : liposomes are loaded with biotinylated PEG linkers and incubation with PLA_2 leads to release of the linkers; the acquired solution is mixed with a solution containing AuNPs functionalized with polystreptavidin so that polystreptavidin is linked in the presence of the released PEG linkers to generate AuNP aggregations; the solution is then added into a lateral flow device, wherein the test line is pre-printed with polystreptavidin, once the linker molecules and AuNP aggregations reach the test line, the AuNP aggregations would adhere by forming multivalent nanoparticle networks, thereby generating a color change (with permission from American Chemical Society) [74]. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

2.2. Efforts in performance improvement

Extensive efforts have been devoted to improving the performance of such assays. Holme et al. demonstrated a colorimetric assay for detection of sphingomyelinase (SMase) (Fig. 3a). Herein, target analyte triggers the release of cysteine encapsulated in the liposomes, which in turn induces aggregation of AuNPs, resulting in a colorimetric read-out visible to the naked eye. The proposed assay exhibits extraordinary advantages over currently available commercial kits and assays as the LoD is 1.4 pM and the assay time can be down to 5 min, although the assay time is negatively related to the analyte concentration [75]. Xiong et al. reported an immunoassay for naked-eye detection of viral entities (Fig. 3b). Herein, enzyme molecules (trypsin) encapsulated within the liposomes are released to the solution in the presence of the target analyte; the released trypsin boost the disassembly of peptide-functionalized AuNPs that are otherwise aggregated. Dual signal amplification is achieved in this assay by means of liposome encapsulation (one analyte molecule can trigger the release of thousands of enzyme molecules) and explosive catalysis (enzyme molecules can serve as catalyst in cleavage of multiple peptide links in the AuNP-peptide aggregates). The LoD is 16 copies/ μL and the assay time can be down to 5 min [76]. Despite the use of antibodies as the receptors, the mechanism of dual signal amplification can be used for future assays where no antibody receptors are used. Mazur et al. demonstrated a liposome-AuNP integrated sensing platform for detection of *Listeriolysin O* (LLO) (Fig. 3c). The target analyte interacts with cysteine-encapsulating liposomes so that pores are generated across the leaflets; the released cysteine induces aggregation of AuNPs, which is reflected as a color change from red to purple/blue. The assay time can be down to 5 min [65]. To date, the LoD of liposome-based assays has been optimized to single molecule detection. For instance, Bui et al. achieved detection of a single pathogen by a color change from red to dark-blue that was visible to the naked eye (Fig. 3d) [77].

2.3. Future challenges

Despite the great advances achieved, further improvements are needed in several aspects. Firstly, liposomes are typically limited by low stability (leakage, fusion and lipid oxidation) compared with other synthetic molecules (e.g., peptides, aptamers, other chemical molecules [114], resulting in lower reproducibility and reliability. Then, the encapsulation rates and levels are still low and need to be further improved as the signal amplification is dependent on the amount of encapsulated signal molecules [114]. Additionally, the pore forming or rupture process triggered by the analyte, which is typically time-consuming, should be accelerated to reduce the overall assay time.

3. Colorimetric biosensing assays based on AuNPs functionalized/combined with peptides

As short chains of amino acid oligomers linked by peptide bonds, peptides possess the same building blocks as proteins [115]. Owing to performances varying with length and amino acid composition, peptides can effectively replace antibodies in the clinical and diagnostic applications by mimicking natural protein interactions or providing completely different structural and chemical functionalities on the interaction with the target analytes [116–121]. Peptides exhibit some distinctive advantages over antibodies and other synthetic receptors. Firstly, peptides exhibit a high affinity to particular analytes, which can be attributed to their protein-like nature [122]. Secondly, peptides are typically small and robust compared with proteins and enable facile incorporation of functional groups for directed immobilisation and further modification. Thirdly, peptides exhibit excellent intrinsic stability in the presence of chemical and thermal denaturants owing to their short-chain structures [123]. Fourthly, peptide synthesis is facile and cost-effective once the sequence information is isolated from the

phage display [124], making peptide-based biosensing assays highly promising for commercialization. For these reasons, peptides are excellent candidates for synthetic receptors in biosensing assays, especially those designed for applications in harsh environments (e.g., high/low temperature, high pressure, high acidity/alkalinity, in the presence of chemical and thermal denaturants).

3.1. Early assays

In 2006, functionalization of AuNPs with synthetic peptides to enable control of particle aggregation in a switchable manner was reported [125]. Based on that, Aili et al. demonstrated colorimetric sensing by controlled assembly of peptide-functionalized AuNPs (Fig. 4a). In absence of analyte molecules, addition of Zn^{2+} ions triggers peptide folding and subsequently aggregation of AuNPs, resulting in color change from red to purple. In the presence of analyte molecules, they bind to peptides so that folding-induced aggregation is precluded and the dispersion color remains red [78]. This is one of the pioneering colorimetric sensing assays based on peptide-functionalized AuNPs. Following that, Chen et al. reported a similar colorimetric sensing assay, wherein aggregation of AuNPs indicates the presence of the target analyte (Fig. 4b). In this assay, both concentration and activity of the target analyte can be assessed, which are of a great significance to protein detection. Additionally, the LoD achieved has been optimized to be ~ 5 nM, which was superior compared with its peers reported then [79]. Liu et al. reported a colorimetric assay based on biofunctionalized AuNPs (Fig. 4c). Herein, a color change from pink/transparent to red, which is induced by dispersion of AuNPs, indicates the presence of the target analyte (botulinum neurotoxin serotype A light chain) as it causes cleavage of surface peptides in this case [80]. Chandrawati et al. proposed a highly sensitive sensing assay where AuNPs are functionalized with two peptides (Fig. 4d). The presence of activated target analyte leads to crosslinking of the two peptide chains, resulting in aggregation of AuNPs and consequently a color change from red to blue; the LoD achieved was 0.01 U/mL, which is comparable to the clinically relevant level of the target analyte (0.05 U/mL) [81]. Liu et al. reported a colorimetric biosensing assay involving peptide-functionalized AuNPs and demonstrated the proposed assay using cardiac troponin I (cTnI) (Fig. 5a). Herein, the peptide serves as the recognition element as it binds to the target analyte with high affinity and color change (red to transparent) serves as the detection signal. The LoD achieved was 0.2 ng/mL (8.4 pM) in real samples (diluted serum) and the assay time was no more than 10 min. Additionally, the effects of size and concentration of AuNPs on the assay performance were explored [67]. In order to further enhance the sensitivity, Pt-decorated AuNPs were employed for colorimetric biosensing assay. Herein, two sensing mechanisms (plasmonics and catalysis) are involved (Fig. 5b). The LoD achieved by Pt-decorated AuNPs were two orders of magnitude higher than that achieved by bare AuNPs [82]. Despite that the recognition element used was antibody, it is reasonable to deduce that a similar assay with peptide as the recognition element is feasible. In order to facilitate commercialization and practical applications, Wang et al. reported a smartphone spectrometer for colorimetric biosensing, wherein light-emitting diode flash and camera of the smartphone are employed as the light source and the detector, respectively (Fig. 5c). This assay is capable of both qualitative (colorimetric) and quantitative (LSPR spectra-based) detection of biological analyte using a portable platform (smartphone) [32].

3.2. Efforts in performance improvement

Recently, great advances have been reported in various aspects of peptide-based colorimetric bio-sensing. In terms of sensitivity, the lowest LoD achieved by colorimetric assays based on peptide-functionalized AuNPs to date is 98.7 pM for metallic molecules (lead) [83], 1×10^{-5} fM for chemical molecules [84], and single-molecule level for biomolecules [85]. In terms of efficiency, the detection time

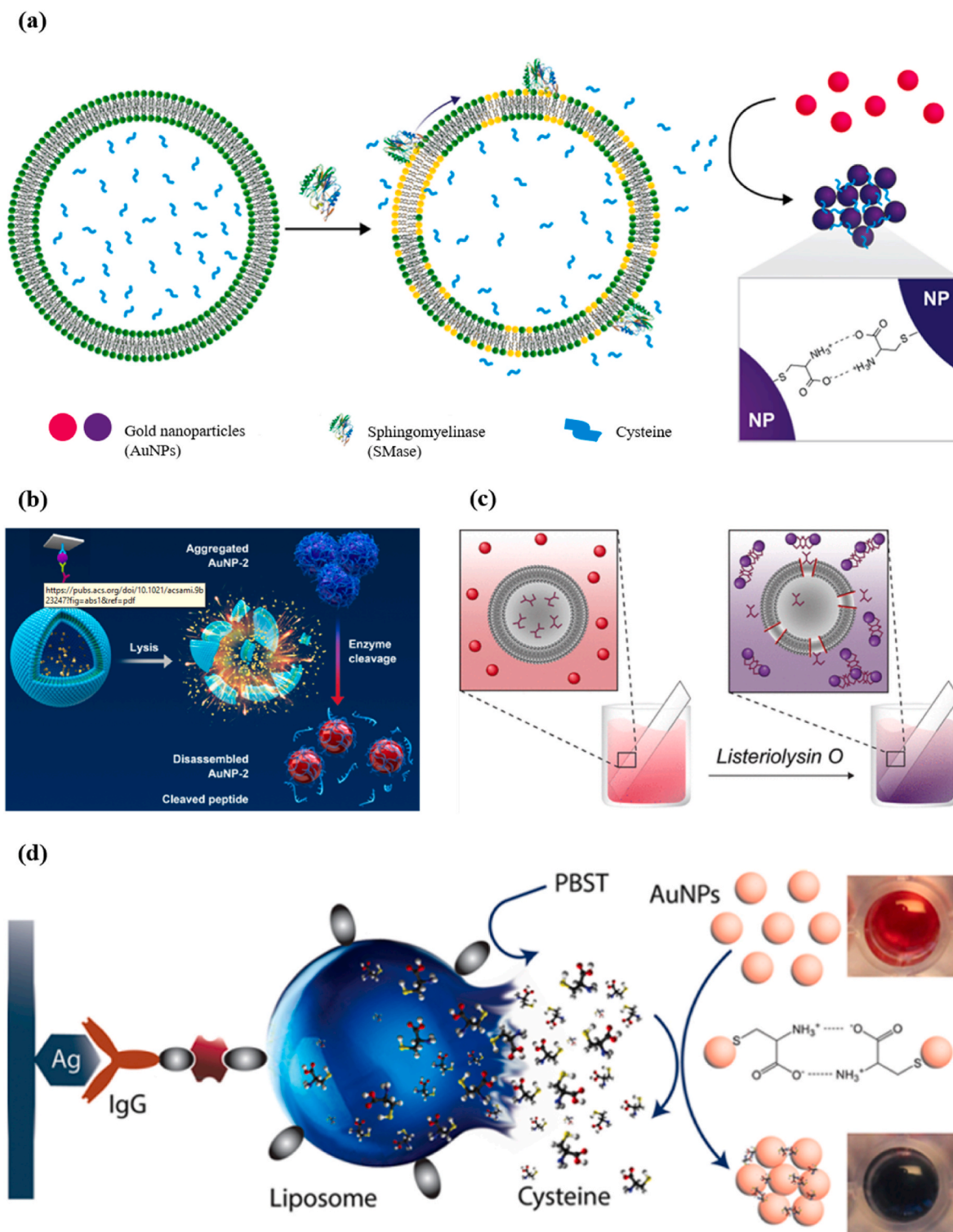


Fig. 3. (a) A liposome-based platform for colorimetric detection of sphingomyelinase (SMase): the presence of SMase leads to membrane phase change of cysteine-encapsulating liposomes, which allows leakage of cysteine; dispersed AuNPs are then added into the solution, and AuNP aggregates are generated by formation of hydrogen bonds between cysteine molecules (with permission from American Chemical Society) [75]. (b) An immunoassay for colorimetric detection of virus: enzyme molecules (trypsin) encapsulated within the liposomes are released to the solution in the presence of the target analyte, and boost the disassembly of peptide-functionalized AuNPs that are otherwise aggregated. Dual signal amplification is achieved in this assay by means of liposome encapsulation (one analyte molecule can trigger the release of thousands of enzyme molecules) and explosive catalysis (enzyme molecules can serve as catalyst of cleavage of peptides linking the AuNPs) (with permission from American Chemical Society) [76]. (c) A liposome-AuNP integrated sensing platform for detection of *Listeriolysin O* (LLO). LLO interacts with cysteine-encapsulating liposomes and pores are generated on the liposomes, so that cysteine molecules are released; the released cysteine induces aggregation of AuNPs, which is reflected as a color change from red to purple/blue (with permission from American Chemical Society) [65]. (d) Liposome-amplified plasmonic immunoassay for naked-eye detection of pathogen: the presence of a single pathogen can trigger a chemical cascade reaction leading to aggregation of AuNPs (with permission from American Chemical Society) [77]. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

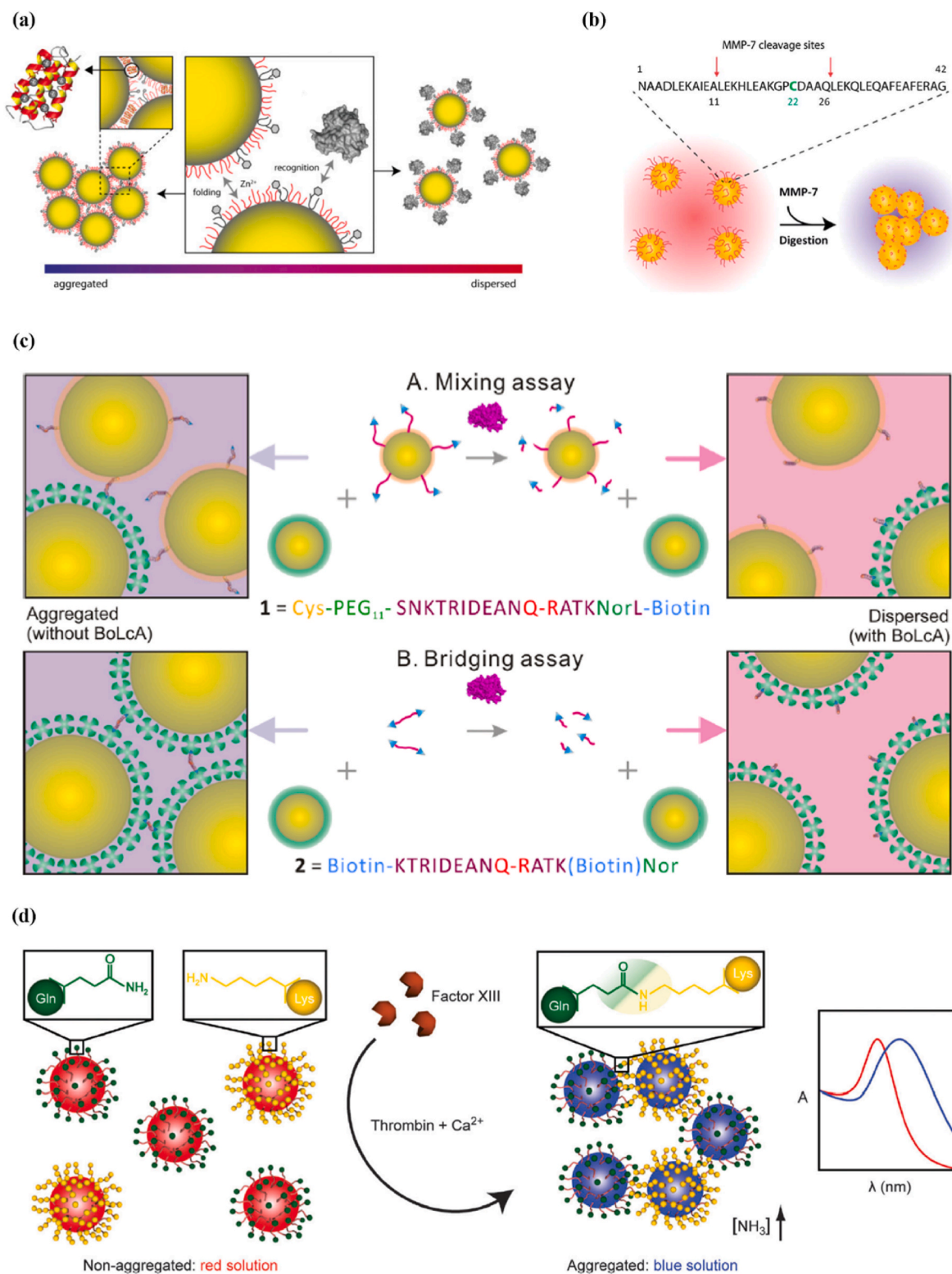


Fig. 4. (a) Colorimetric sensing by controlled assembly of peptide-functionalized AuNPs: in absence of analyte molecules (human carbonic anhydrase II (HCAII)), addition of Zn^{2+} triggers peptide folding and subsequently aggregation of AuNPs, resulting in color change from red to purple; in presence of analyte molecules, they bind to peptides so that folding-induced aggregation is precluded and the dispersion color remains red (with permission from Royal Society of Chemistry) [78]. (b) Colorimetric sensing based on aggregation of AuNPs: the target analyte (matriysin, MMP-7) triggers cleavage of peptides on AuNP surface, which induces aggregation of AuNPs; both concentration and activity of the target analyte can be assessed in this assay (with permission from Royal Society of Chemistry) [79]. (c) Colorimetric sensing based on re-dispersion of AuNPs: AuNPs functionalized with peptide aggregate, and the target analyte (BoLcA) can cleave the peptides so that AuNPs are re-dispersed (with permission from American Chemical Society) [80]. (d) Label-free, colorimetric detection of blood coagulation Factor XIII: AuNPs are functionalized with two different peptides, and the presence of activated target analyte leads to crosslinking of the two peptide chains on AuNP surface (with permission from Royal Society of Chemistry) [81]. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

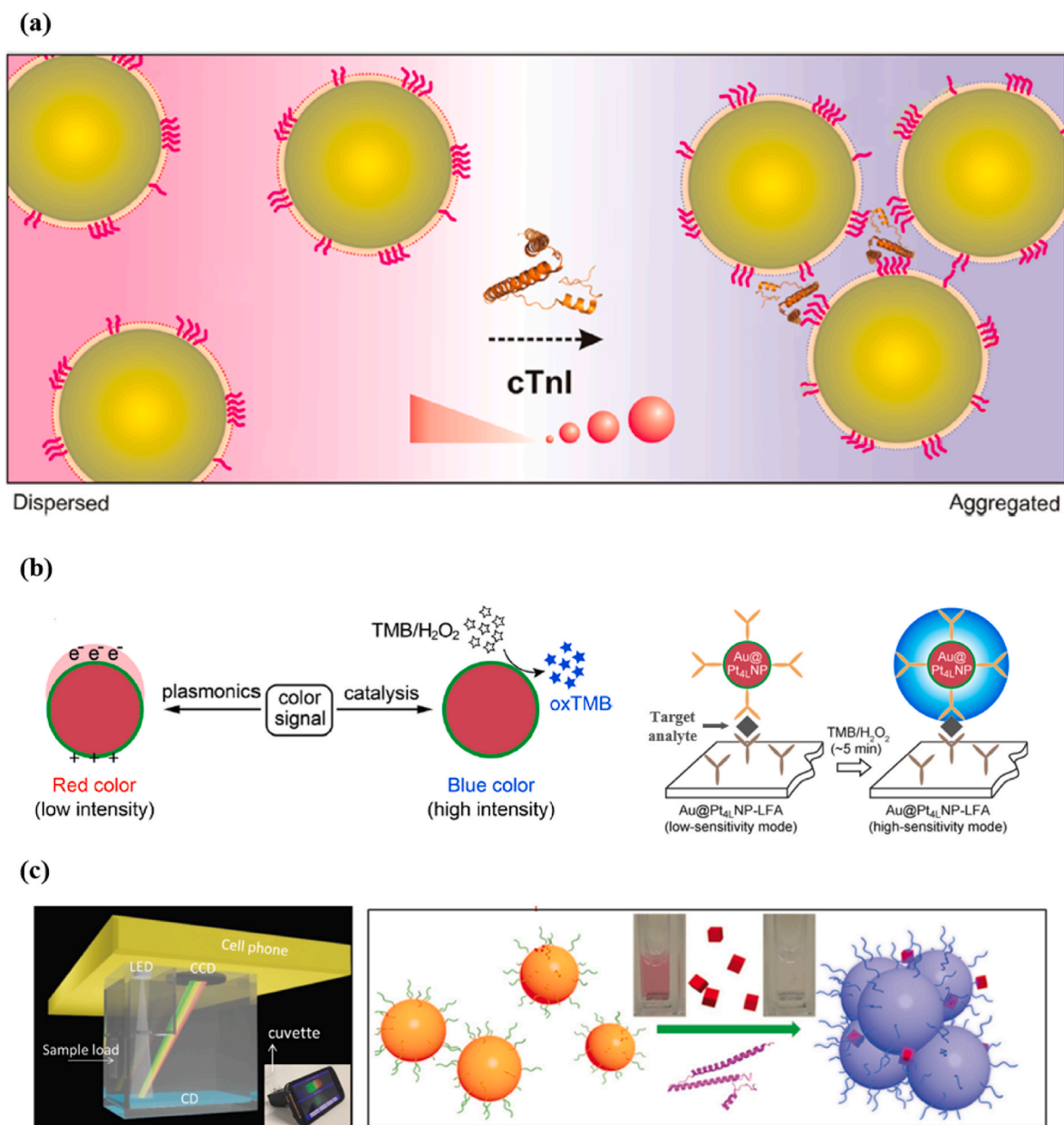


Fig. 5. (a) Colorimetric detection of cardiac troponin I (cTnI) using AuNPs of different sizes and concentrations: the peptide serves as the recognition element as it binds to the target analyte with high affinity and color change (red to transparent) serves as the detection signal. Additionally, the effects of size and concentration of AuNPs on the assay performance were explored (with permission from American Chemical Society) [67]. (b) Colorimetric biosensing assay based on Pt-decorated AuNPs. Herein, dual sensing mechanisms (plasmonics and catalysis) are involved, resulting in two different color signals (with permission from American Chemical Society) [82]. (c) colorimetric biosensing based on a portable platform (smartphone), wherein light-emitting diode flash and camera of the smartphone are employed as the light source and the detector, respectively (with permission from Royal Society of Chemistry) [32]. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

was below 10 min [126,127], which is a clinically relevant level for most application scenarios, although further reduction is limited by the intrinsic features of protein-protein interactions; in terms of real sample detection, peptide-based colorimetric bio-sensing assays have been demonstrated for analyte detection in complex matrices, including serum [128], whole blood, urine [129], saliva [130], and sweat [131].

3.3. Future challenges

Currently, a reporter molecule is required in most peptide-based assays as peptides do not directly generate a measurable signal in response to a binding event [132], indicating that label-free detection is an inherent challenge for these assays, although several label-free

peptide-based assays have been proposed [81]. Additionally, the selectivity of peptide-based sensing assays shall be further optimized as various peptides exhibit significant and comparable binding affinity towards multiple cells/molecules.

4. Colorimetric biosensing assays based on AuNPs functionalized/combined with aptamers

As analogue to protein-based antibodies, aptamers are essentially short, single-stranded nucleic acid ligands (DNA or RNA) with high binding affinity and specificity towards any molecule of interest (e.g., protein and inorganic molecules) [133,134]. In virtue of the folding capability upon binding [135], affinity and specificity of the

aptamer/target interactions are superior compared with other synthetic receptors and even comparable to those of antibody/antigen interactions [136–138]. For this reason, nucleic acid aptamers are termed as “chemical antibodies” in a wide range of applications [139]. More importantly, aptamers exhibit excellent stability in harsh environments and are not subject to denaturation. Additionally, a non-disposable biosensor can be realized using aptamers as their interactions with target molecules are indeed reversible (by intermolecular hybridizations) [140]. In virtue of their unprecedented advantages (e.g., flexible structure, low cost, small physical size, absence of immunogenicity, and versatile chemical modification, high stability) over natural receptors, aptamers have been thoroughly investigated and widely applied in the field of diagnostics [141] and healthcare [142].

Currently, the most commonly used method for aptamer preparation is the systematic evolution of ligands by the exponential enrichment (SELEX) (Fig. S2) [143–146], despite that non-SELEX methods have also been reported [147,148]. Specifically, a fundamental library of nucleic acids is established, followed by incubation with the molecule/ligand of interest. Then, unbound sequences and molecules are removed, and the bound nucleic acids are collected and eluted. After that, the eluted sequences were amplified by means of polymerase chain reaction (PCR). As a result, an enriched library is obtained. This enriched library is employed as the starting library in the next cycle. Eventually, the final library obtained after multiple cycles (typically 6–12 consecutive cycles)

is cloned and sequenced [149]. Upon sequence determination by SELEX, aptamers can be synthesized by using cost-effective and reproducible chemical methods. In other words, the SELEX enables *in vitro* selection of aptamers so that *in vivo* immunization of animals, as in the case of antibody production, is not required for aptamers.

4.1. Early assays

In 1997, Elghanian et al. proposed the first colorimetric sensing assay based on aptamer-functionalized AuNPs and demonstrated the proposed assay for detection of a single-stranded oligonucleotide. Herein, the presence of target molecules led to aggregation of AuNPs, thereby a red-to-pinkish/purple color change visible to the naked eye. The LoD achieved for the target oligonucleotide was 10 fmol [33]. Storhoff et al. investigated the correlation of aptamer length with optical properties (e.g., color) of aptamer-functionalized AuNPs and found that the aptamer length could kinetically control the size of AuNP aggregates, thereby controlling their optical properties. In other words, the optical properties of AuNPs are dependent on its aggregate size [150]. Following that, various assays with similar sensing mechanisms have been reported. Liu et al. reported a colorimetric sensing assay based on aptamer-functionalized AuNPs for detection of metallic ions (Pb^{2+}) (Fig. 6a). This assay is a generalized one that may be applied for various analytes of interest as aptamers with high binding affinity to a specific

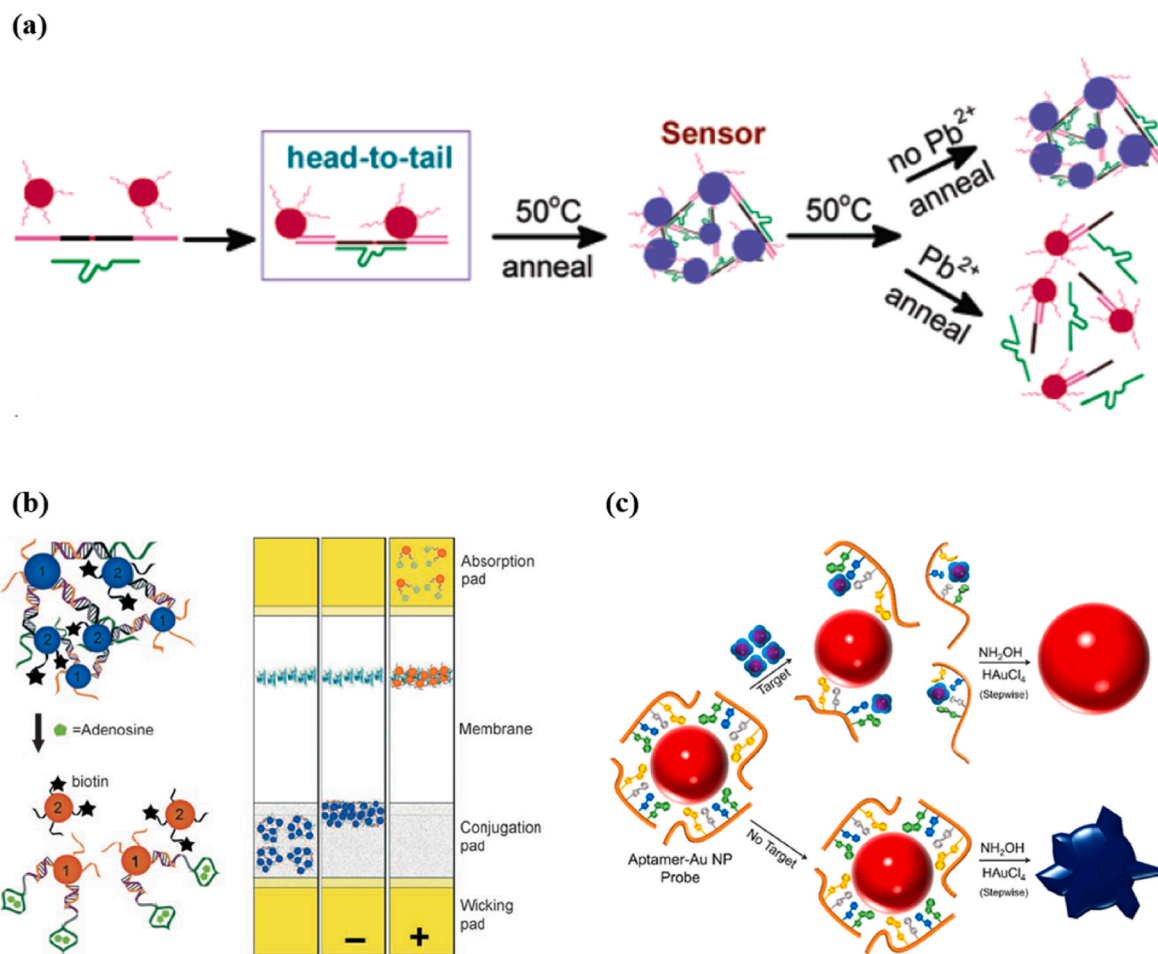


Fig. 6. (a) Colorimetric sensing assay based on aptamer-functionalized AuNPs for detection of metallic ions (Pb^{2+}). This assay can be applied for detection of various analytes of interest as aptamers with high binding affinity to a specific analyte can be generated by *in vitro* selection (mostly SELEX) (with permission from American Chemical Society) [87]. (b) A general colorimetric sensing assay based on the disassembly of AuNP aggregates linked by aptamers, wherein no special features are required for the aptamers (with permission from Wiley-VCH) [88]. (c) Colorimetric detection of small molecules (ochratoxin A, cocaine, and 7 β -estradiol) in real samples. The specific mechanism was target-mediated growth of aptamer-functionalized AuNPs, which equips this assay with sufficient selectivity for real sample detections (with permission from American Chemical Society) [89].

analyte can be generated by *in vitro* selection (mostly SELEX) [86]. However, this assay is tedious as a 2-h cooling process is required before the color change can be observed. Hence, Liu et al. developed an improved version of such assay by increasing the size of AuNPs from 13 nm to 42 nm, as well as optimizing the AuNP alignment, the temperature and the aptamer used. This improved assay could achieve rapid (assay time <10 min) and sensitive (LoD = 0.4 μM) detection of Pb^{2+} at room temperature, making it more suitable for practical applications [87]. After that, a general colorimetric sensing assay based on the disassembly of AuNP aggregates linked by aptamers was proposed by the same group (Fig. 6b). Herein, no special features are required for the aptamers, and the proposed assay is supposed to be applicable to any aptamer of interest [88]. As a complementary, Liu et al. reported optimized

preparation of aptamer-functionalized AuNP aggregates [151]. Additionally, Soh et al. proposed a colorimetric assay for detection of small molecules (ochratoxin A, cocaine, and 7β -estradiol) in real samples (Fig. 6c). The specific mechanism was target-mediated growth of aptamer-functionalized AuNPs, which equips this assay with sufficient selectivity for real sample detections [89].

4.2. Efforts in performance improvement

Assays mentioned above are based on disassembly of AuNP aggregates, which are highly unstable in real samples (e.g., serum, saliva, urine, and sweat) or after long-term storage. As a result, the real sample sensitivity and the shelf life of biosensors based on such assays are by no

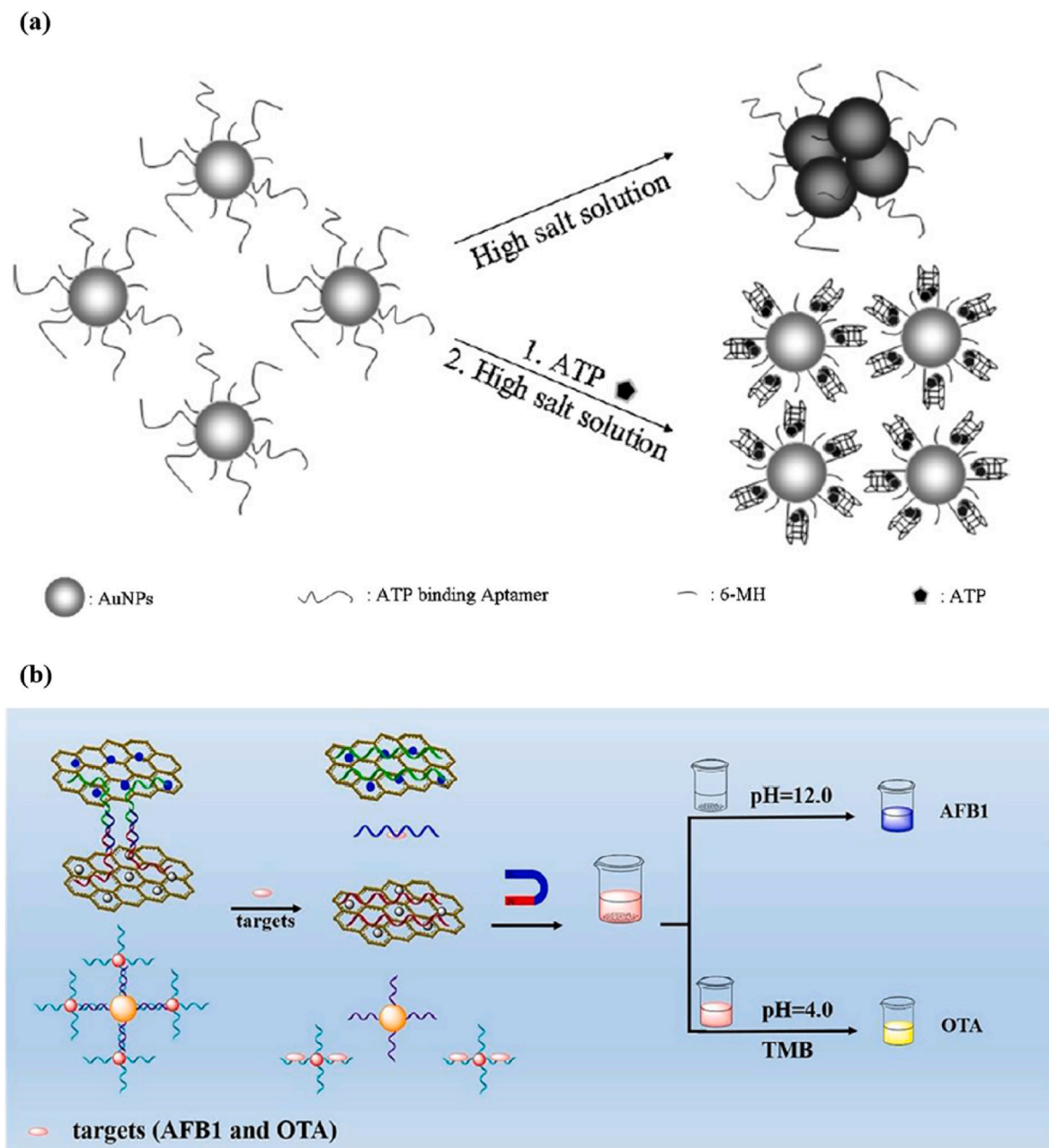


Fig. 7. (a) Colorimetric detection of adenosine triphosphate (ATP) based on controlled aggregation of AuNPs: the target molecules prevents aggregation of aptamer-functionalized AuNPs, which is observed in absence of the target molecules (with permission from Elsevier B.V.) [90]. (b) Colorimetric sensing assay for simultaneous detection of two targets (ochratoxin A and aflatoxins B1). This assay is a breakthrough in terms of simultaneous detection of multiple target, although color changed induced by AuNP aggregation is responsible for one of the targets only (with permission from Elsevier B.V.) [91]. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

means ideal. For this reason, Chen et al. introduced a colorimetric assay for detection of adenosine triphosphate (ATP) (Fig. 7a) [90]. In this assay, the target molecules prevents aggregation of AuNPs, which is observed in absence of the target molecules; the LoD achieved was 10 nM, indicating a significantly enhanced sensitivity compared with previous assays [88]. However, the aptamer-functionalized AuNPs can only be applied to a specific target and detection of multiple targets require repeated nanoparticle functionalization by different aptamers, which could take hours. To solve this problem, Zhu et al. reported a colorimetric sensing assay for simultaneous detection of two targets (ochratoxin A and aflatoxins B1) (Fig. 7b). This is indeed a breakthrough in simultaneous detection of multiple target, although the color change induced by AuNP aggregation is responsible for one of the targets only [91]. Xia et al. demonstrated a colorimetric sensing assay based on aptamers and AuNPs. Instead of being functionalized with aptamers,

AuNPs were combined with different aptamers according to the target to be detected (Fig. 8a). Hence, this biosensor is essentially a universal device that can be used for any target of interest. In this article, detections of proteins, small molecules, and ions were demonstrated (Fig. 8b) [92].

4.3. Future challenges

Despite the great advances in colorimetric assays using aptamers as the recognition elements, such assays are facing several challenges. Primarily, SELEX is an extremely time-consuming process as each round typically takes 24 h [152] and the entire process may take a few weeks [153]. Hence, acceleration of the SELEX process is urgently needed; alternatively, a substitute preparation method may also be a solution. Secondly, aptamers are readily exposed to digestion by enzymes [154,

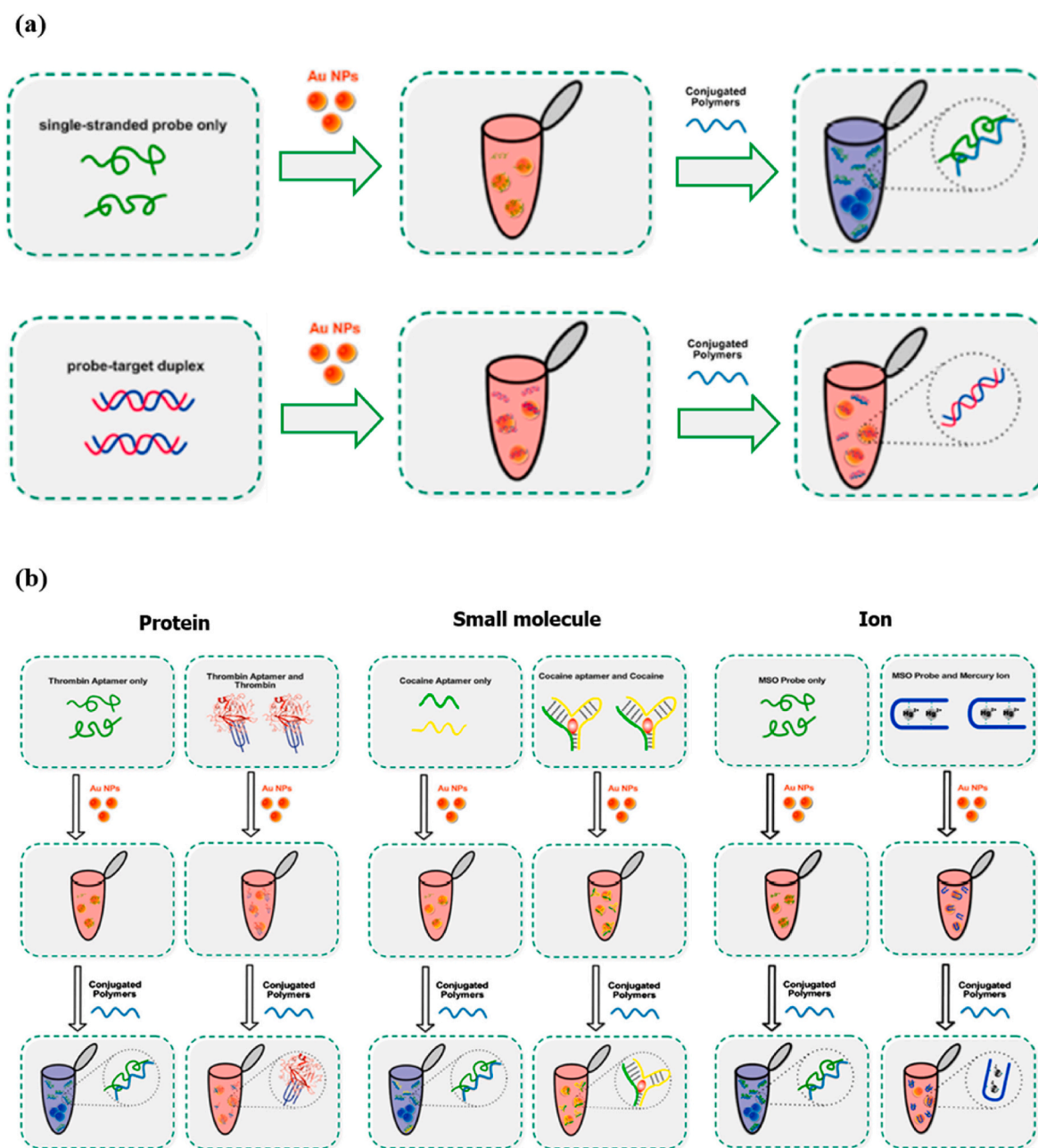


Fig. 8. (a) Colorimetric sensing assay based on aptamers and AuNPs. Instead of being functionalized with aptamers, AuNPs are combined with different aptamers according to the target to be detected. Hence, this biosensor is essentially a universal device that can be used for any target of interest; (b) detections of proteins (thrombin), small molecules (cocaine), and ions (Hg^{2+}) were demonstrated (with permission from National Academy of Sciences) [92].

155], which are abundant in blood. As a result, aptamer-based sensing assays tend to inherently exhibit limited performance in real sample detection and aptamers shall be modified accordingly (e.g., phosphate backbone modifications) to minimize the digestion.

5. Colorimetric biosensing assays based on AuNPs functionalized/combined with other chemical molecules

Besides the synthetic receptors mentioned above, AuNPs have also been functionalized/combined with other chemical molecules for colorimetric biosensing. In order to focus on recent advances in this field, only representative assays reported in 2018 and later are summarized and discussed.

5.1. Early assays

Salimi et al. demonstrated colorimetric detection of metallic ions (Cr^{3+} and Fe^{2+}) using AuNPs modified with methylene blue (Fig. 9a). The proposed assay is highly sensitive ($\text{LoD} = 23.66 \text{ nM}$ for Cr^{3+} and 11.21 nM for Fe^{2+}) and applicable in a wide pH range ($\text{pH} = 3\text{--}8$) [93], making it promising for real sample detections. You et al. demonstrated a colorimetric sensing assay based on vancomycin-modified AuNPs for detection of bacteria in real-life samples (orange juice and tap water) (Fig. 9b). The naked eye LoD was 1×10^9 cells/mL for all three bacteria involved (*S. aureus*, *M. luteus*, and *B. subtilis*) [94]. Landa et al. proposed sialic acid-functionalized AuNPs for colorimetric detection of pathogenic bacteria (*S. aureus* and *P. aeruginosa*) in real samples (human serum and urine). The LoD achieved was 10^6 CFU/mL [95]. Āonková et al. reported a colorimetric method based on AuNPs functionalized with Schiff base and demonstrated it for the detection of transition metal

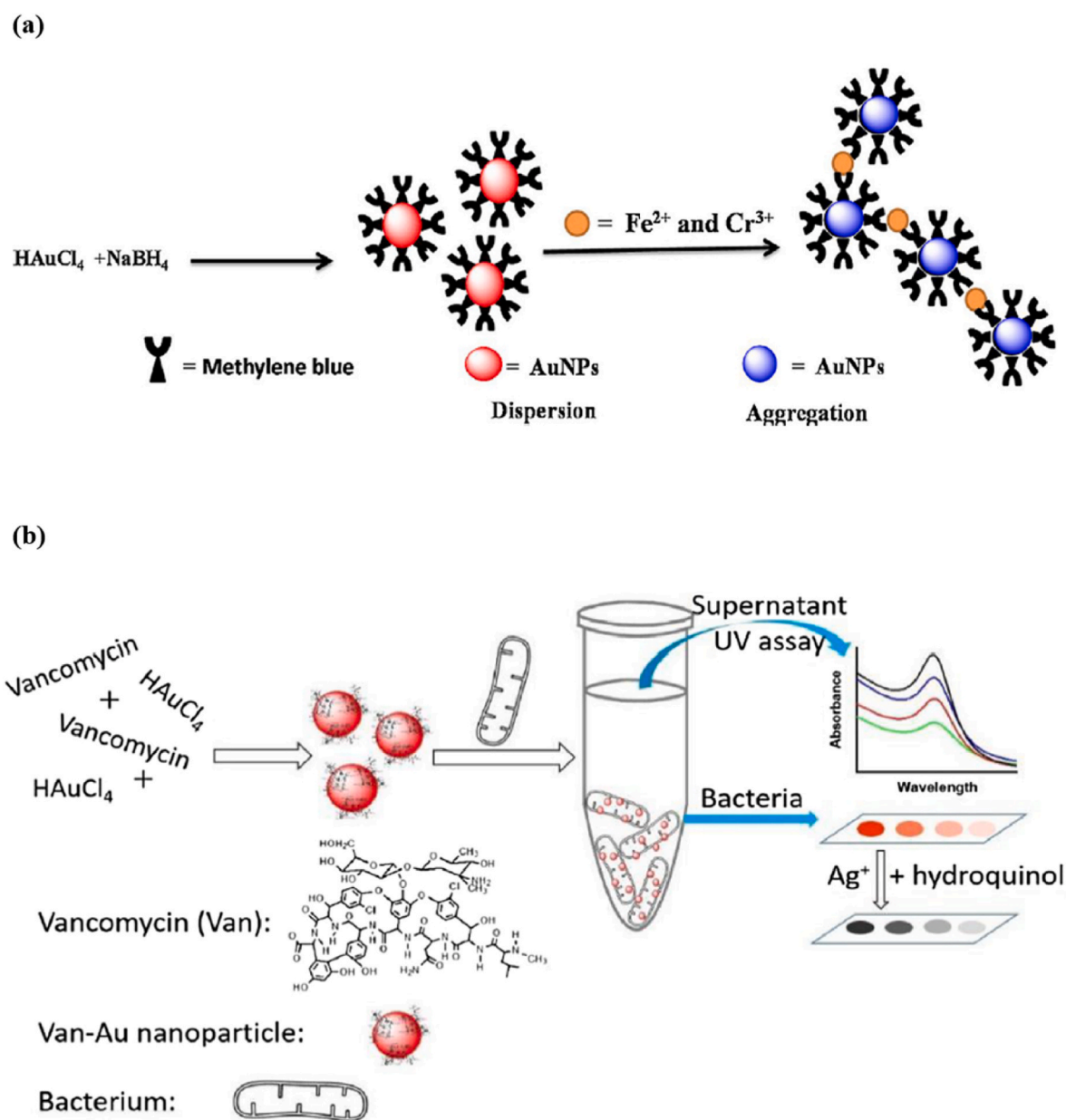


Fig. 9. (a) Colorimetric detection of metallic ions (Cr^{3+} and Fe^{2+}) using AuNPs modified with methylene blue (with permission from Elsevier B.V.) [93]. (b) Colorimetric sensing assay based on vancomycin-modified AuNPs for detection of bacteria (*S. aureus*, *M. luteus*, and *B. subtilis*) in real-life samples (orange juice and tap water) (with permission from Elsevier B.V.) [94]. (For interpretation of the references to colour in this figure legend, the reader is referred to the Web version of this article.)

ions (Ni^{2+} , Cu^{2+} , Fe^{3+}) (Fig. 10a). The LODs achieved for the transition metal ions were in the range of 1.4–11.2 nM, which were two orders of magnitude lower than the LODs suggested by European Union (EU) [96]. Recently, detection of targets in living cells has attracted great attention. For instance, Ren et al. reported colorimetric sensing of dopamine in living cells and retina using AuNPs functionalized with *N*-hydroxysuccinimide ester (Fig. 10b). In this study, localized dopamine levels in retina tissues were determined by the proposed assay and the LOD achieved was estimated to be below 5 μM [70].

5.2. Efforts in performance improvement

AuNP modification is typically a complicated and tedious process, and the long-term stability of modified AuNPs tends to be limited. Therefore, efforts have been made to eliminate the need for an additional receptor. For instance, Mazur et al. developed a colorimetric biosensor based on core-satellite AuNP and demonstrated it by detection of Co^{2+} . Herein, the core-satellite AuNP, which is linked by cysteine, is exposed to architectural transformation in presence of Co^{2+} . In this way, the core-satellite AuNP itself serves as the receptor and no additional recognition element is needed [97]. Duenchay et al. developed a transparent sheet-based colorimetric sensor based on unmodified AuNPs. Herein, the negatively charged AuNPs can specifically interact with the positively charged vitamin B1 via an electrostatic effect and no surface modification is required for the AuNPs [98]. Besides spherical nanoparticles, AuNPs of other shapes have also been explored. For instance, Xu et al. developed a colorimetric assay based on unmodified AuNR for the detection of DNA. The LOD achieved was 1.47 nM, which is three times determinations of the blank solution. Different from spherical AuNS, rod-shaped AuNR is an anisotropic nanoparticle with two distinctive absorption bands, which exhibits great potential in biosensing [99].

6. Conclusions and perspectives

This review has discussed recent advances in colorimetric biosensing assays based on AuNPs functionalized/combined with non-antibody recognition elements such as liposomes, peptides, aptamers, and other chemical molecules. These assays are ideal alternatives to conventional assays based on natural receptors such as antibodies, which are limited by complicated yet fragile functional structures, tedious and costly preparation, and large molecular size. To date, naked-eye detection of various analytes, including enzymes, viruses, DNAs and bacteria, at clinically relevant concentrations using the colorimetric assays based on AuNPs functionalized/combined with non-antibody recognition elements has been demonstrated.

Each category of assays has their respective strengths and limitations: liposome-based assays exhibit advantages such as high sensitivity due to signal amplification (one analyte molecule can theoretically trigger the release of thousands of signal marker molecules) and great potential for commercialization, but suffer from low stability (leakage, fusion and lipid oxidation) and time-consuming rupture process; peptide-based assays exhibit high sensitivity owing to high affinity of protein-like peptides and wide applicability owing to excellent intrinsic stability of peptides in harsh environments (e.g., in the presence of chemical and thermal denaturants), but suffer from challenges in label-free detection (peptides do not directly generate a measurable signal in response to a binding event in most cases) and low specificity (a peptide may exhibit significant and comparable binding affinity towards multiple cells/molecules); aptamer-based assays are characterized by high specificity owing to the folding capability of aptamers upon binding, good stability in harsh environments (low probability of denaturation) and potential for non-disposable biosensors owing to reversible interactions of aptamers with target molecules, but suffer from time-consuming SELEX process and high sensitivity to hydrolytic digestion by nucleases, which are abundant in human blood.

Despite the great advances achieved in colorimetric sensing based on AuNPs functionalized/combined with non-antibody recognition elements mentioned above (liposomes, peptides, aptamers, other chemical molecules), several challenges remain to be tackled in this promising field before wide application and commercialization of such biosensors.

- (1) Limited sensitivity: The sensitivity of colorimetric sensing assays is intrinsically low compared with other methods involving advanced instrument. One of the most effective ways to improve the sensitivity of these assays is to tune the size of AuNPs. Theoretically, decreasing single particle size leads to increased specific surface area of AuNPs, thereby enhanced sensitivity. Practically, however, neither too large nor too small AuNPs can deliver ideal sensing performances, indicating the presence of an optimized particle size for each assay. Another solution is dual-signal output methods combined with other analytical strategies (e.g., SERS, fluorescence, and electrochemistry) [156], wherein the colorimetric result may serve as a preliminary indication; the dual-signal output also enhances sensitivity by reducing the probability of false positive results.
- (2) Simultaneous detection of multiple targets: For practical applications, simultaneous detection of more than one target by one device is essentially in various scenarios [157]. Nevertheless, a majority of colorimetric assays based on AuNPs functionalized/combined with non-antibody recognition elements have no capability of simultaneous detection of multiple targets, especially quantitative detection. A possible solution is sensor arrays assisted by machine learning tools [158]. Such assays can theoretically achieve simultaneous detection of dozens of targets, provided that the array is sufficiently large. Alternatively, the combination of colorimetric assays with microfluidic systems with multiple channels may also be a promising strategy for simultaneous detection of multiple targets [159]. Indeed, colorimetric analysis in microfluidic environments is related to device miniaturization, low sample/reagent consumption, and facile measurement of flowing samples.
- (3) Restrained commercialization: Practical application and commercialization are the ultimate objective of all biosensors. Colorimetric biosensors are supposed to be advantageous in terms of commercialization owing to its intrinsic potential in point-of-care diagnostics [160] and monitoring [161]. To date, colorimetric biosensors based on AuNPs have been successfully commercialized and well-known examples include pregnancy test kits and diabetic glucose meters [162,163]. However, several challenges remain to be tackled to achieve further advances in its commercialization. Firstly, the cost of AuNP functionalization, which is typically a time-consuming and labour-intensive process, shall be further reduced [164]. This may be relieved by integrating AuNP preparation and surface functionalization into one step [165]. Secondly, the shelf-life of colorimetric biosensors based on AuNPs shall be further improved. In virtue of improved receptor stability compared with those involving antibodies, colorimetric biosensors based on AuNPs functionalized/combined with non-antibody recognition elements exhibit prolonged shelf-life [78]. However, the shelf-lives of currently available colorimetric biosensors based on AuNPs are still beyond the requirement of commercial products. Thirdly, the assay time of colorimetric biosensors based on AuNPs. As indicated, most colorimetric assays based on AuNPs functionalized/combined with non-antibody recognition elements take more than 10 min to deliver the result, especially at low analyte concentrations (typically 30 min) [166,167]. Although assay time is not the most sensitive index in representative scenarios, reduced waiting time would be always appealing to users and can reduce the rate of missed positive result.

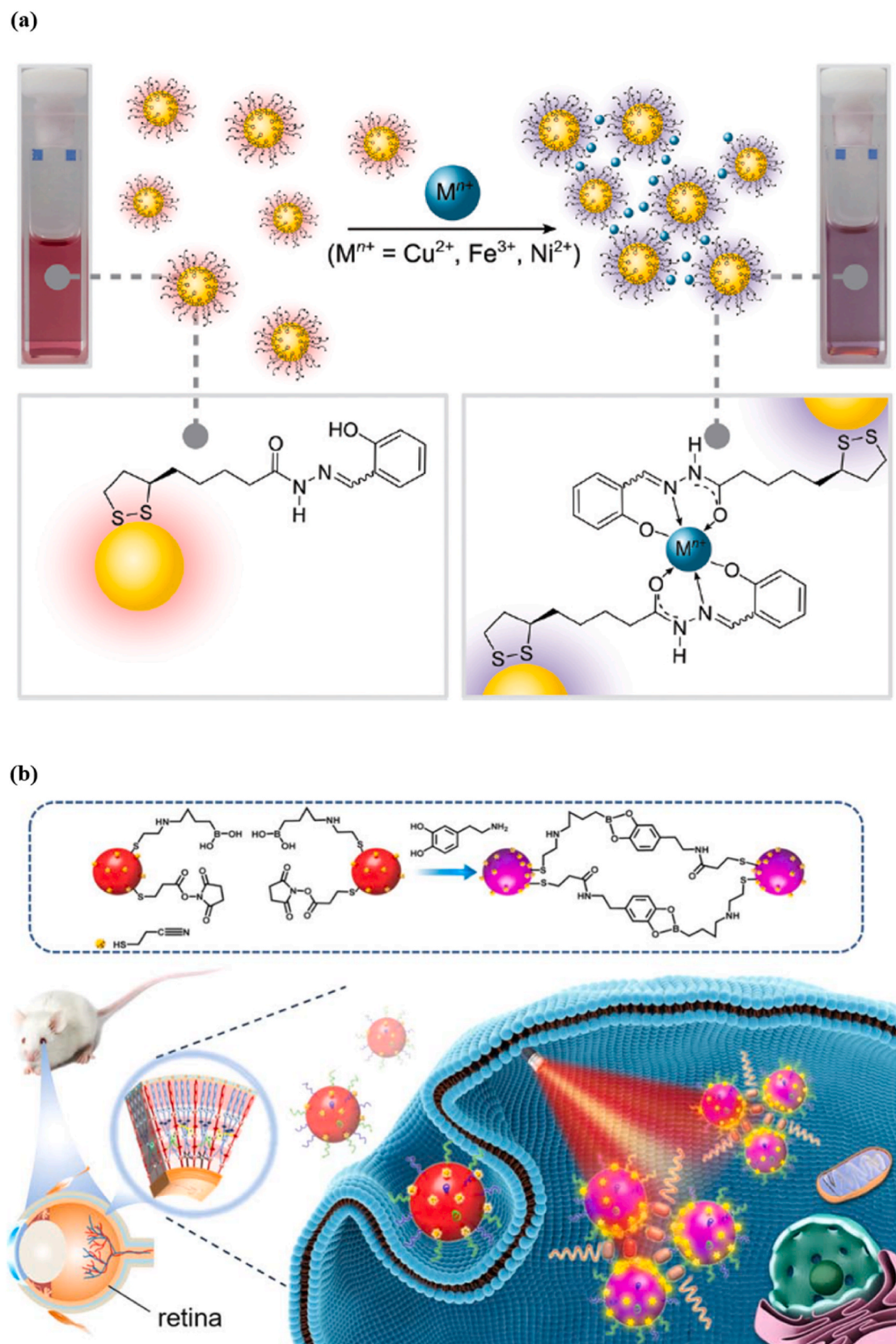


Fig. 10. (a) Colorimetric method based on AuNPs functionalized with Schiff base and demonstrated it for the detection of transition metal ions (Ni^{2+} , Cu^{2+} , Fe^{3+}). The LoDs achieved for the transition metal ions were in the range of 1.4–11.2 nM, which were two orders of magnitude lower than the limits suggested by European Union (EU) (with permission from Royal Society of Chemistry) [96]. (b) Colorimetric sensing of dopamine in living cells and retina using AuNPs functionalized with *N*-hydroxysuccinimide ester. The LoD achieved was estimated to be below 5 μM (with permission from American Chemical Society) [70].

CRedit authorship contribution statement

Hu Chen: Conceptualization, Writing – original draft, Writing – review & editing. **Songtao Cai:** Formal analysis. **Jianxin Luo:** Funding acquisition, Supervision. **Xiaohu Liu:** Investigation. **Lijuan Ou:** Methodology. **Qingwen Zhang:** Validation. **Bo Liedberg:** Writing – original draft, Writing – review & editing. **Yi Wang:** Conceptualization, Writing – original draft, Writing – review & editing.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

Data availability

Data will be made available on request.

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Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.trac.2024.117654>.

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